

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Dox 1459 Alexandria, Viginia 22313-1450 www.unpto.gov

ATTY, DOCKET NO. U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT 3455.1000-005 10/561,209 David J Smith

INTERNATIONAL APPLICATION NO.

PCT/US04/19187

I.A. FILING DATE 06/16/2004

PRIORITY DATE 06/20/2003

21005 HAMILTON, BROOK, SMITH & REYNOLDS, P.C. 530 VIRGINIA ROAD P.O. BOX 9133 CONCORD, MA 01742-9133

**CONFIRMATION NO. 4037 371 FORMALITIES LETTER** \*OC000000020922137\*

Date Mailed: 10/23/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/14/2005
- Copy of the International Search Report filed on 12/14/2005
- Copy of Annexes to the IPER filed on 12/14/2005
- Preliminary Amendments filed on 12/14/2005
- Information Disclosure Statements filed on 12/14/2005
- U.S. Basic National Fees filed on 12/14/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

## PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/561,209	PCT/US04/19187	3455.1000-005

FORM PCT/DO/EO/905 (371 Formalities Notice)

 $\bowtie$ 

 $\boxtimes$ 

 $\boxtimes$ 

 $\overline{\boxtimes}$ 

Notification is attached.

(Separate transmittal letter and postcard not required)

is included in the enclosed check. (Separate transmittal letter and postcard not required)

(Separate transmittal letter and postcard required)

**POWER OF ATTORNEY DOCUMENT** 

**GRANTED BY INVENTOR(S)** 

(Separate transmittal letter and postcard not required)

**SEQUENCE LISTING** - Filed concurrently and is attached.

# IAP13 Rec'd PCT/PTO 98 FEB 2007

PATENT APPLICATION Docket No.: 3455.1000-005

# IN THE UNITED STATES RECEIVING OFFICE (US/DO/EO) '

Applicants:	David J. Smith and Michael C. Andrews			
U.S. Application No.:	10/561,209			
U.S. National Stage of: International Application No. International Filing Date: For:	PCT/US04/19187  June 16, 2004  PROCESS FOR REDUCING SURFACE ABERRATIONS			
DATE: 2/8/07  EXPRESS MAIL LABEL NO. EV 214910996 US  REPLY TO NOTIFICATION OF MISSING REQUIREMENTS				
Mail Stop PCT (DO/EO) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450				
Sir:				
In reply to the Notification of Missing Requirements (Form PCT/DO/EO/905) dated October 23, 2006, the following documents and fees are being submitted for filing in the captioned application:				

EXECUTED DECLARATION FOR PATENT APPLICATION - A copy of the

GRANTED BY ASSIGNEE, including Statement under 37 CFR § 3.73(b)

SURCHARGE - A surcharge as provided by 37 CFR § 1.16(e) in the amount of \$130

	$\boxtimes$	PETITION FOR EXTENSION OF TIME				
		$\boxtimes$	Missing Requirements	ions to extend the time to respond to the Notification of dated October 23, 2006, for two month(s) from February 23, 2007. The appropriate fee of \$450 is ed check.		
				on of time to respond to the Notification of Missing ] was filed on [ ] with payment of a \$[ ] fee.		
		(Separate	time to respond	by petitions for an additional [ ] month extension of a to the Notification of Missing Requirements. The of \$[ ] is included in the enclosed check.  **postcard not required**		
		REQUEST FOR CORRECTED FILING RECEIPT - Filed concurrently and is attached.  (Separate transmittal letter and postcard required)				
	☐ SMALL ENTITY STATEMENT					
			Was filed on [ ].			
			Is enclosed herewith. (Separate transmittal letter and p	ostcard not required)		
			In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$[ ] which were paid on [ ] to be deposited in Deposit Account No. 08-0380.			
		The fees required for filing the indicated documents are enclosed in the form of a				
			otal amount of \$580. A	uthorization is hereby granted to charge any additional		
fees due to Deposit Account No. 08-0380. A copy of this letter is enclosed for account				380. A copy of this letter is enclosed for accounting		
purposes.						
2/13/2007 MKAYPAGH 00000103 10561209		10561209	Respectfully submitted,			
1 FC:1252			450.00 DP	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.		
2/13/2007 MK	KAYPAGH O	0000103 1	0561209	Du del 4/2		
2 FC:1617			130.00 OP	By Colin C. Durham  Registration No. 52,843  Telephone: (978) 341-0036  Facsimile: (978) 341-0136		
Concord, MA 01742-9133  Dated: $2/8/2\infty7$			. 01742-9133			
			8/2007			